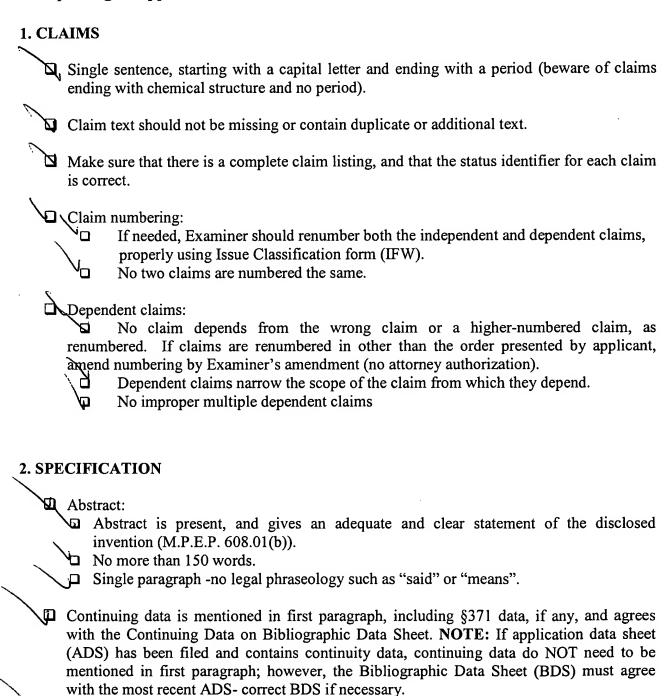
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**Practice and Procedures** 

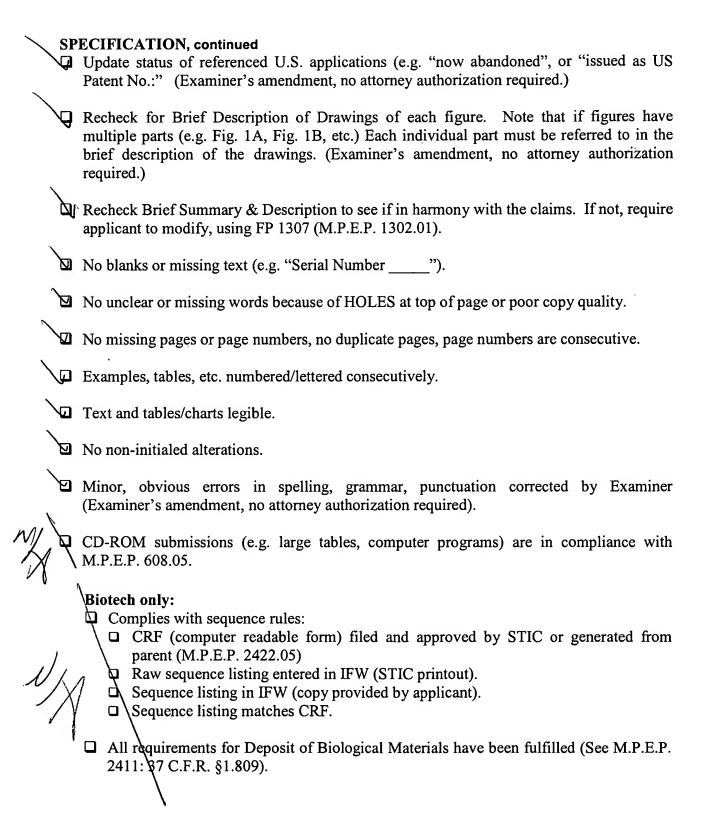
Allowance and Issue Check List

### **EXAMINER'S ISSUE CHECKLIST**

When passing an application to issue, each of the following items should be checked:



U.S. applications referred to by Attorney Docket Number should be changed to U.S. Application Numbers. (Examiner's amendment, no attorney authorization required.)



## PATENT AND TRADEMARK OFFICE OFFICE OF PATENT TRAINING

### 3. OATH OR DECLARATION

Original, first and sole/joint inventor(s) clause.

Contains "reviewed and understands" and "duty of disclosure" statements, CIP oath states duty to disclose intervening art.

Names of applicants on bibliographic data sheet same as in oath/declaration, unless there has been a request under 37 C.F.R. §1.48 to delete one or more inventors, and that request has been granted.

 $\Box$ /Full given name for each applicant (M.P.E.P. 605.04(b)).

Signature, Address and Citizenship for each inventor. (Address may be found on Application Data Sheet, see M.P.E.P. 601.05).

Any alterations initialed and dated.

Foreign priority includes country, serial number and filing date (check against bibliographic data sheet). (May be found on Application Data Sheet, see M.P.E.P. 601.05).

Continuing data, if any, should be mentioned in the first sentences of the specification or ADS (not the oath or declaration). Especially in cases where an oath or declaration from a prior application is used, the continuity data may differ, and no objection should be made to the inclusion or lack or inclusion of continuity data in the oath. Benefit under 35 U.S.C. §120 should only be given if the application is mentioned in the first sentences of the specification, or on the ADS. See M.P.E.P. 601.05.

### AMAPPLICATION DATA SHEET

If more than one Application Data Sheet is present, only the most recent one is considered.

If present, must be compared with Oath/Declaration. If any discrepancies, a notice of defective oath or declaration should be sent. (M.P.E.P. 601.05)

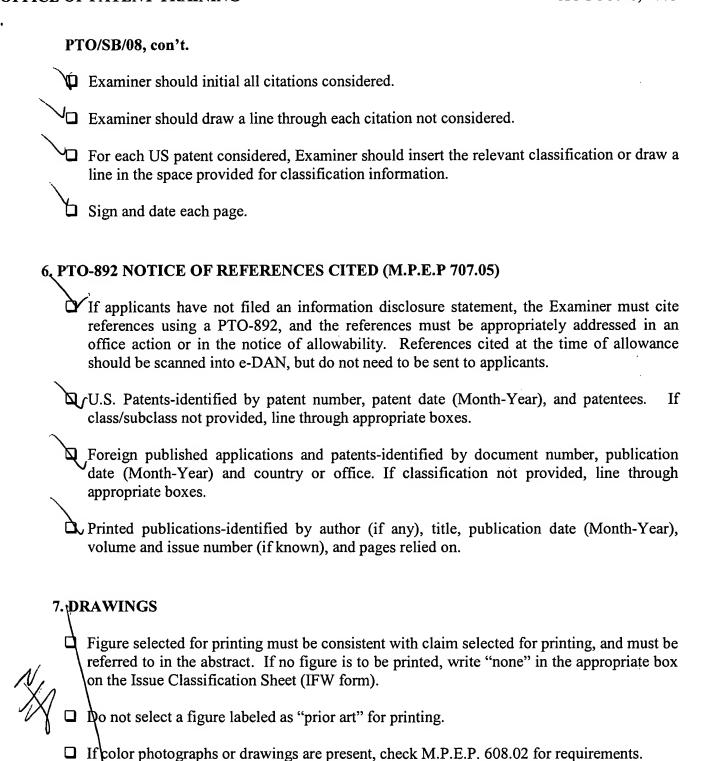
## 5. PTO/SB/08 (former PTO-1449): INFORMATION DISCLOSURE STATEMENT (M.P.E.P 609)

U.S. Patents-identified by patent number, patent date (Month-Year), and patentees.

Foreign published applications and patents-identified by document number, publication date (Month-Year) and country or office.

Printed publications-identified by author (if any), title, publication date (Month-Year), volume and issue number (if known), and pages relied on.

## PATENT AND TRADEMARK OFFICE OFFICE OF PATENT TRAINING

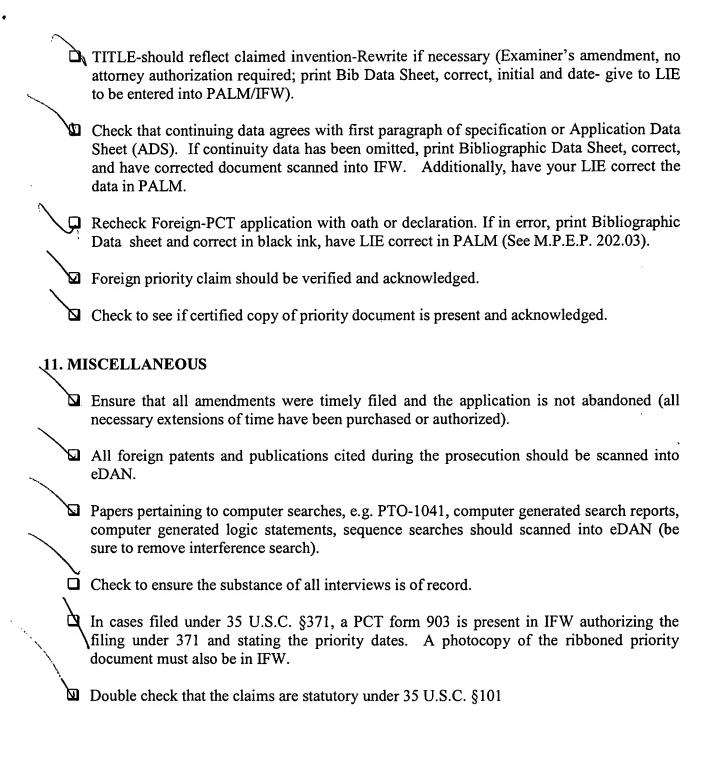


## PATENT AND TRADEMARK OFFICE OFFICE OF PATENT TRAINING

## 8. IFW SEARCH NOTES FORM: Update and complete Searched Box, Search Notes Box and Interference Searched Box. Search Notes Box: Parent files checked Record consultations with other examiners, primaries, SPEs, SPREs and TQASs. Database searches recorded, with "printout attached" indicated, or search strategy set forth; specific vendors and files listed (e.g. Dialog, files 5, 55). Inventor/assignee search noted for possible double patenting issues. Interference Searched Box: Lists both original class and subclass and all cross-referenced classes and subclasses. Indicates interference search of claimed sequences (Biotech). Search the broadest claims on EAST or WEST for all original and cross-referenced classes and subclasses. Make sure PG-PUB file is searched. Provide copies of search results in case. 9. IFW ISSUE CLASSIFICATION FORM: Assistant Examiner and Primary Examiner spaces should be completed. Primary Examiner must SIGN above their typewritten name. Update FINAL classification ☑ Complete classification cross-references. Complete INTERNATIONAL CLASSIFICATION- available on PTO intranet. Print claim should be the most comprehensive independent claim that conveys the nature of the invention. If dependent claim is printed, independent claim from which it depends must also be printed. If only one claim is being allowed, write "the" in the "print claim" box instead of "1". ☐ If there is a Terminal Disclaimer print IFW terminal disclaimer sheet. ☐ Index of Claims no longer required upon allowance, issue classification form is sufficient.

### 10. BIBLIOGRAPHIC DATA SHEET:

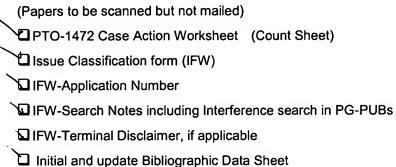
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### 12. ITEMS TO BE PLACED IN RED FOLDER





### **RIGHT SIDE OF FOLDER:**

(Papers to be mailed to applicant)

PTO-37 Notice of Allowability

Examiner's Amendment (if applicable)

Reasons for Allowance (if applicable)

☐ PTO-892 and/or PTO-1449 (if applicable)

Any newly cited NPL or Foreign Patent or publication cited on PTO-892